



03-31-04

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By Maria E. Vega [MARIA E. VEGA]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 3722
PAUL F. COTE, <i>ET AL.</i>)	Examiner: Unknown
Serial No.: 10/643,445)	
Filed: August 19, 2003)	
For: DURABLE SECURITY DEVICES AND)	
SECURITY ARTICLES EMPLOYING)	
SUCH DEVICES)	

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§ 1.56 and 1.97(b)(3)

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b)(3), the following United States published applications/patents (copies of which are enclosed) are submitted to the Examiner for consideration:

Published U.S. Patent Application

<u>Publication No.</u>	<u>Inventor</u>	<u>Publication Date</u>
20020014967A1	Crane <i>et al.</i>	02/07/2002

U.S. Patents

<u>Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
4,044,231	Beck <i>et al.</i>	08/23/1977
4,183,989	Tooth	01/15/1980
4,446,204	Kaule <i>et al.</i>	05/01/1984

<u>Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
4,511,616	Pitts <i>et al.</i>	04/16/1985
4,534,398	Crane	08/13/1985
4,584,529	Aoyama	04/22/1986
4,631,222	Sander	12/23/1986
4,631,223	Sander	12/23/1986
4,652,015	Crane	03/24/1987
4,869,778	Cote	09/26/1989
4,943,093	Melling <i>et al.</i>	07/24/1990
4,960,651	Pettigrew <i>et al.</i>	10/02/1990
4,980,569	Crane <i>et al.</i>	12/25/1990
5,016,919	Rotondo	05/21/1991
5,042,842	Green <i>et al.</i>	08/27/1991
5,043,201	Cote	08/27/1991
5,082,842	Widmer	01/21/1992
5,093,184	Edwards	03/03/1992
5,112,672	Kaule <i>et al.</i>	05/12/1992
5,113,062	Fujita <i>et al.</i>	05/12/1992
5,190,318	Mantegazza	03/02/1993
5,196,681	Mantegazza	03/23/1993
5,265,916	Coe	11/30/1993
5,279,403	Harbaugh <i>et al.</i>	01/18/1994
5,284,363	Gartner <i>et al.</i>	02/08/1994
5,308,992	Crane <i>et al.</i>	05/03/1994
5,354,099	Kaule <i>et al.</i>	10/11/1994
5,383,687	Suess <i>et al.</i>	01/24/1995
5,388,862	Edwards	02/14/1995
5,394,969	Harbaugh	03/07/1995
5,417,316	Harbaugh	03/23/1995
5,419,424	Harbaugh	05/30/1995
5,447,335	Haslop	09/05/1995
5,457,382	Stein	10/10/1995
5,474,638	Kohlhammer <i>et al.</i>	12/12/1995
5,486,022	Crane	01/23/1996
5,516,153	Kaule	05/14/1996
5,535,871	Harbaugh	07/16/1996
5,543,911	Jeffers	08/06/1996
5,545,885	Jagielinski	08/13/1996
5,582,927	Andricacos <i>et al.</i>	12/10/1996
5,583,631	Lazzerini	12/10/1996
5,599,047	Kaule <i>et al.</i>	02/04/1997
5,601,931	Hoshino <i>et al.</i>	02/11/1997
5,614,824	Dames <i>et al.</i>	03/25/1997
5,639,126	Dames <i>et al.</i>	06/17/1997
5,697,649	Dames <i>et al.</i>	12/16/1997
5,786,587	Colgate, Jr.	07/28/1998
5,803,503	Kaule <i>et al.</i>	09/08/1998

<u>Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
5,949,050	Fosbenner <i>et al.</i>	09/07/1999
6,086,708	Colgate, Jr.	07/11/2000
6,146,773	Kaule	11/14/2000
6,168,851	Kubota	01/02/2001
6,255,948	Wolpert <i>et al.</i>	07/03/2001
6,316,082	Tomkins <i>et al.</i>	11/13/2001
6,336,988	Enlow <i>et al.</i>	01/08/2002
6,343,745	Bohm <i>et al.</i>	02/05/2002
6,364,983	Kay	04/02/2002
6,376,094	Dames <i>et al.</i>	04/23/2002
6,440,522	Duscheck <i>et al.</i>	08/27/2002
6,454,166	Stenzel <i>et al.</i>	09/24/2002
6,474,695	Schneider <i>et al.</i>	11/05/2002

The following German reference (copy of full-text reference enclosed along with a copy of an English language abstract) is submitted to the Examiner for consideration:

Foreign Reference

<u>Application/ Patent No.</u>	<u>Country</u>	<u>Patentee</u>	<u>Publication/ Grant Date</u>
DE 195 48 528 A	Germany	Giesecke & Devrient GmbH	06/26/1997

The undersigned hereby submits that Applicant's knowledge regarding the contents of DE 195 48 528 A is limited to that contained in the attached English-language abstract for this reference.

Printed Publications


TUCKER, RICHARD, "Portals and Tagsa make their mark in secure labels", (article source and date unknown).

De La Rue Holographics Ltd., "The Mark of Authenticity" brochure, Designed and Produced by Osprey RMA, Hampshire, England (date unknown).

Applicant is unaware of art any more pertinent to the above-identified application than the references cited above.

A "List of Prior Art Cited by Applicant" (PTO Form SB/08A and SB/08B) is enclosed for the Examiner's convenience.

Respectfully submitted,



Date: March 29, 2004

Mary R. Bonzagni
Attorney for Applicant
Registration No. 34779
Holland & Bonzagni, P.C.
Customer No. 27804
(413) 567-2076

[02044P16]



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 5

Complete if Known

Application Number	10/643,445
Filing Date	August 19, 2003
First Named Inventor	Paul F. Cote
Art Unit	3722
Examiner Name	Unknown
Attorney Docket Number	02044P

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 20020014967 A1	02-07-2002	Crane et al.	
		US- 4,044,231	08-23-1977	Beck et al.	
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		US- 4,446,204	05-01-1984	Kaule et al.	
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		US- 4,534,398	08-13-1985	Crane	
		US- 4,584,529	04-22-1986	Aoyama	
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		US- 4,960,651	10-02-1990	Pettigrew et al.	
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		US- 5,016,919	05-21-1991	Rotondo	
		US- 5,042,842	08-27-1991	Green et al.	
		US- 5,043,201	08-27-1991	Cote	
		US- 5,082,842	01-21-1992	Widmer	
		US- 5,093,184	03-03-1992	Edwards	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		DE 195 48 528 A*	06-26-1997	Giesecke & Devr		
		*also includes English language Abstract				

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SignatureDate
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

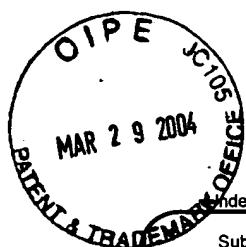
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By

Maria E. Vega

[MARIA E. VEGA]



PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
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(Use as many sheets as necessary)

Sheet 2

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Complete if Known

Application Number	10/643,445
Filing Date	August 19, 2003
First Named Inventor	Paul F. Cote
Art Unit	3722
Examiner Name	Unknown
Attorney Docket Number	02044P

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		Number-Kind Code ² (if known)			
		US- 5,112,672	05-12-1992	Kaule et al.	
		US- 5,113,062	05-12-1992	Fujita et al.	
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		US- 5,196,681	03-23-2003	Mantegazza	
		US- 5,265,916	11-30-1993	Coe	
		US- 5,279,403	01-18-1994	Harbaugh et al.	
		US- 5,284,363	02-08-1994	Gartner et al.	
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		US- 5,457,382	10-10-1995	Stein	
		US- 5,474,638	12-12-1995	Kohlhammer et al.	
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		US- 6,336,988	01-08-2002	Enlow et al.	

FOREIGN PATENT DOCUMENTS

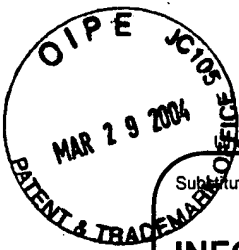
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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Attorney Docket Number	02044P

Sheet	5	of	5
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		TUCKER, RICHARD, "Portals and Tagsa make their mark in secure labels", (article source and date unknown).	
		De La Rue Holographics Ltd., "The Mark of Authenticity" brochure, Designed and Produced by Osprey RMA, Hampshire, England (date unknown).	

Examiner Signature		Date Considered	
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